



DEC 28 2010

Gerardo C. Rios, Chief Permits Office (AIR-3) U.S. EPA - Region IX 75 Hawthorne St. San Francisco, CA 94105

Notification of Proposed Issuance of Title V General Permit for

Agricultural Sources, Umbrella Template SJV-UA-0-0

Dear Mr. Rios:

The District intends to issue a Title V General Permit Umbrella Template for agricultural sources to streamline the processing of Title V permits for agricultural sources. Enclosed for your review and comment are the proposed model general permit template SJV-UA-0-0, the District's analysis with supporting information, and the public notice submitted for publication. The conditions contained in the template would become a part of each agricultural facility's Title V permit, upon the applicant's use of the template.

The public notice of intent to issue this model general permit template will be published approximately three days from the date of this letter. Please submit your written comments on this template within the 45-day comment period that begins on the date of publication of the public notice.

Thank you for your cooperation in this matter. Should you have any questions, please contact Jim Swaney of Permit Services at (559) 230-5900.

Sincerely,

David Warner

Director of Permit Services

KS

Attachments

Seved Sadredin Executive Director/Air Pollution Control Officer





DEC 2 8 2010

Mike Tollstrup, Chief **Project Assessment Branch** Air Resources Board P.O. Box 2815 Sacramento, CA 95812-2815

Subject: Notification of Proposed Issuance of Title V General Permit for

Agricultural Sources, Umbrella Template SJV-UA-0-0

Dear Mr. Tollstrup:

The District intends to issue a Title V General Permit Umbrella Template for agricultural sources to streamline the processing of Title V permits for agricultural sources. Enclosed for your review and comment are the proposed model general permit template SJV-UA-0-0, the District's analysis with supporting information, and the public notice submitted for publication. The conditions contained in the template would become a part of each agricultural facility's Title V permit, upon the applicant's use of the template.

The public notice of intent to issue this model general permit template will be published approximately three days from the date of this letter. Please submit your written comments on this template within the 30-day comment period that begins on the date of publication of the public notice.

Thank you for your cooperation in this matter. Should you have any questions, please contact Jim Swaney of Permit Services at (559) 230-5900.

Sincerely,

id Warner

Director of Permit Services

KS

Attachments

Seved Sadredin

Executive Director/Air Pollution Control Officer

SAN JOAQUIN VALLEY UNIFIED AIR POLLUTION CONTROL DISTRICT NOTICE OF PROPOSED ISSUANCE OF MODEL GENERAL PERMIT TEMPLATE SJV-UA-0-0

NOTICE IS HEREBY GIVEN that the San Joaquin Valley Unified Air Pollution Control District solicits public comment on proposed issuance of Model General Permit Template SJV-UA-0-0. This Model General Permit Template may be used by an applicant as part of the Title V operating permit application for agricultural sources.

This template addresses the broad general requirements that are applicable to all agricultural facilities subject to Title V permitting requirements. Applicants choosing to use this template will not be required to individually show compliance with the requirements covered by the template. Applicants choosing not to utilize this template will be required to independently develop permit conditions that show compliance with all applicable requirements.

The District's analysis of the legal and factual basis for this proposed action is available for public inspection at http://www.valleyair.org/notices/public_notices_idx.htm and the District office at the address below. There are no emission changes associated with this proposed action. If requested by the public, the District will hold a public hearing to receive oral comments on the proposed modification to this template. For additional information, please contact Jim Swaney, Permit Services Manager, at (559) 230-5900.

This will be the public's only opportunity to comment on the issuance of this template. An additional opportunity for public review will occur during Title V application submittal, at which time the public will only be able to comment on a source's eligibility to use the template and not on specific permit conditions in the template itself. Written comments on the proposed template must be submitted within 30 days of the publication date of this notice to David Warner, Director of Permit Services, SAN JOAQUIN VALLEY UNIFIED AIR POLLUTION CONTROL DISTRICT, 1990 EAST GETTYSBURG AVENUE, FRESNO, CA 93726-0244.

San Joaquin Valley Unified Air Pollution Control District

Title V

Model General Permit Template

AGRICULTURAL SOURCES FACILITY-WIDE UMBRELLA

Template # SJV-UA-0-0

For All Agricultural Sources Applying For A Title V Permit

This template is designed to streamline the Title V permitting process by addressing the facility-wide requirements for agricultural sources required to obtain a Title V permit. Applicants for Title V permits choosing to use this template will only have to complete the enclosed template qualification form and submit it with their Title V application.

San Joaquin Valley Unified Air Pollution Control District

Title V Model General Permit Template Agricultural Sources Facility-Wide Umbrella Template

Template No: SJV-UA-0-0

PREPARED BY:	Kamaljit Sran Senior Air Quality Engineer
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	Jim Swaney
	Permit Services Manager
APPROVED BY:	· · · · · · · · · · · · · · · · · · ·
	David Warner
	Director of Permit Services
FINAL DECISION I	DATE:

SAN JOAQUIN VALLEY UNIFIED AIR POLLUTION CONTROL DISTRICT

TITLE V GENERAL PERMIT UMBRELLA TEMPLATE FOR AGRICULTURAL SOURCES

ENGINEERING EVALUATION

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I. Purpose

The purpose of this template is to identify all federally enforceable general requirements that apply to all agricultural facilities subject to Title V.

II. Template Applicability

This template is designed to be used by all agricultural facilities subject to the requirements of Title V. The permit conditions in this template will be incorporated into the facility's Title V permit only if they agree to the conditions contained herein, and a Template Qualification Form (TQF) is submitted.

The TQF is attached as Appendix A. A completed and signed TQF must be submitted with the Title V application.

III. Applicable Requirements

Title V facilities may be subject to two types of requirements: Federally-Enforceable requirements and District-only requirements. Federally-enforceable requirements will be enforceable by EPA and the public through Title V permit conditions identified as "Federally Enforceable". District-only requirements represent local or state regulations over which EPA has no direct enforcement authority. The final Title V permit issued by the District will contain both federally enforceable and District-only requirements.

This template does not address any source specific requirements. Requirements addressed by this template apply universally to all Title V sources. Further, this template does not address compliance with all federally enforceable requirements. Generally, requirements not addressed by this template are those that require a source specific analysis, or are covered by other templates. The applicable requirements addressed in this template are in Table 1, <u>Applicable Requirements</u>.

Table 1. Applicable Requirements

Rule/Regulation	Citation	Description
SJVUAPCD Reg. I	1100 (12/17/92)	Equipment Breakdown
SJVUAPCD Reg. I	1160 (11/18/92)	Emission Statements
SJVUAPCD Reg. II	2010 (12/17/92)	Permits Required
SJVUAPCD Reg. II	2020 (12/20/07)	Exemptions
SJVUAPCD Reg. II	2031 (12/17/92)	Transfer of Permits
SJVUAPCD Reg. II	2040 (12/17/92)	Applications
SJVUAPCD Reg. II	2070 (12/17/92)	Standards for Granting Applications
SJVUAPCD Reg. II	2080 (12/17/92)	Conditional Approval
SJVUAPCD Reg. II	2520, Sections 5.2, 9.1.1, 9.4, 9.5, 9.7, 9.8, 9.9, 9.13.1, 9.13.2, 9.16, and 10.0 (06/21/01)	Federally Mandated Operating Permits
SJVUAPCD Reg. IV	4101 (02/17/05)	Visible Emissions
SJVUAPCD Reg. IV	4550 (08/19/2004)	Conservative Management Practices
SJVUAPCD Reg. IV	4601 (12/17/09)	Architectural Coatings
SJVUAPCD Reg. VIII	8011(08/19/04)	Fugitive Dust (PM ₁₀) Emissions
SJVUAPCD Reg. VIII	8081 (09/16/2004)	Fugitive Dust (PM ₁₀) Agricultural Sources
40 CFR Part 82	Subpart B and F	Stratospheric Ozone
40 CFR Part 61	Subpart M	National Emission Standard for Asbestos

IV. Compliance

This section discusses how compliance is assured with each requirement addressed in this template.

District Rule 1100

Sections 6.0 and 7.0 prescribe breakdown procedures and reporting requirements. These requirements are addressed by template permit conditions #1, #2 and #11. District Rule 1100 has been submitted to the EPA to replace each of the following county rules in the SIP: Rules 110 (Fresno, Stanislaus, San Joaquin); 109 (Merced); 113 (Madera); 111 (Kenn, Tulare, Kings). District Rule 1100 is at least as stringent as the county SIP rules addressing breakdowns, as shown in Table 2.

By using this template the applicant is requesting a permit shield from the requirements of County Rule 110 (Fresno, Stanislaus, San Joaquin); 109 (Merced); 113 (Madera); 111 (Kern, Tulare, Kings). See permit shield condition #39.

Table 2. Comparison of District Rule 1100 to County Rules

able 2. Companison of District Rule 1100 to County Rules					
REQUIREMENTS	District Rule 1100	Rule 109 (Merced) Rule 110 (S.J.)	Rule 111 (Kern, Tulare, Kings)	Madera Rule 113	Rule 110 (Fresno, Stanislaus)
A breakdown occurrence must be reported as soon as reasonably possible but no later than 1 hour after detection.	х	x	X (Kern allows 2 hrs)	X	х
A variance must be obtained if the occurrence will last longer than a production run or 24 hours, whichever is shorter (96 hours for CEM systems).	x	x	x	x	X
A report must be submitted to the APCO within 10 days of the correction of the breakdown occurrence which includes:	x	×	x	х	x
 A statement that the breakdown condition has been corrected, together with the date of correction and proof of compliance. 		x	X .	х	х
A specific statement of the reason(s) or cause(s) for the occurrence sufficient to enable the APCO to determine whether the occurrence was a breakdown condition.	x	x	x	x	х
A description of the corrective measures undertaken and/or to be undertaken to avoid such an occurrence in the future.	х	X	х	X	
 Pictures of the equipment or controls which failed if available. 	х	· X	×	X	

District Rule 1160

Section 5.0 requires the owner or operator of any stationary source to provide the District with a written emissions statement showing actual emissions of reactive organic gases (ROGs) and nitrogen oxides (NOx) from that source. The District waives this requirement for sources emitting less than 25 tons per year of these pollutants if the District provides the Air Resources Board (ARB) with an emissions inventory of sources emitting greater than 10 tons per year of NOx or ROGs based on the use of emission factors acceptable to the ARB. See permit condition #3.

District Rules 2010 and 2020

District Rule 2010 sections 3.0 and 4.0 require any person building, modifying or replacing any operation that may cause the issuance of air contaminants to apply for an Authority to Construct (ATC) from the District in advance. The ATC will remain in effect until the Permit to Operate (PTO) is granted. These requirements are stated in template permit condition #4.

District Rule 2020 lists equipment which are specifically exempt from obtaining permits and specifies recordkeeping requirements to verify such exemptions. These requirements are stated in template permit condition #4.

Current District Rule 2020 has been submitted to the EPA to replace SIP approved September 17, 1998 version of Rule 2020. The comparison of the current District Rule and the previous version, presented below in table 3 indicate that the current District Rule is at least as stringent as the previous SIP approved version of this rule.

Table 3. Comparison of District Rule 2020 (9/17/98) to Rule 2020 (12/20/07)

REQUIREMENTS	District Rule 2020 (9/17/98)	District Rule 2020 (12/20/09)
An ATC or PTO is not required for listed exempt equipment.	х	x
Conditions are stated under which listed exempt equipment will require an ATC or PTO.	×	х
Record keeping is required to verify and maintain exemption, when the exemption is based on a maximum daily limitation.	х	х
A compliance schedule is stated for equipment which loses exemption from permitting, necessitating submission of a PTO application.	x	x

District Rules 2031, 2070, and 2080

These rules set forth requirements to comply with all conditions of the Permit to Operate. Permits to Operate or Authorities to Construct are not transferable unless a new application is filed with and approved by the District. All source operations must be constructed and operated as specified in the Authority to Construct. See permit conditions #5 and #6.

District Rule 2040

Section 3.0 requires that every application for a permit shall be filed in a manner and form prescribed by the District. See permit condition #7.

District Rule 2520

Section 5.2 requires permittees submit applications for Title V permit renewal at least six months prior to permit expiration. Permit condition #42 assures compliance with this requirement.

Section 9.0 of District Rule 2520 requires certain elements to be contained in each Title V permit:

Section 9.1.1 of District Rule 2520 requires all conditions on Title V permits specify a reference of the origin of an authority for each term or condition, and identify any difference in form as compared to the applicable requirements upon which the term or condition is based.

Section 9.4 contains requirements to incorporate all applicable recordkeeping requirements into the Title V permit. This section also specifies records of any required monitoring and support data be kept for a period of five years. The

requirements to keep specific monitoring records and retain records for five years are stated in template permit conditions #8 and #9, respectively.

Section 9.5 requires the submittal of monitoring reports at least every six months. Prompt reporting of deviations from permitting requirements, including those attributable to upset conditions is also required. The responsible official must certify all required reports. These requirements are stated in template permit conditions #10 and #11.

Section 9.7 states that the Title V permit must also contain a severability clause in case of a court challenge; the severability clause is stated in template permit condition #12.

Section 9.8 contains requirements for provisions in the Title V permit stating 1) the permittee must comply with all permit conditions; 2) the permitted activity should not be reduced in order to comply with the permit conditions. Further, this reasoning shall not be used as a defense in an enforcement action, 3) the permit may be revoked, modified, reissued, or reopened for cause, 4) the Title V permit does not reflect any property rights, and 5) the permittee will furnish the District with any requested information to determine compliance with the conditions of the Title V permit. Compliance with these sections of Rule 2520 will be assured by permit conditions #5 and #13 - #16.

Section 9.9 requires the permit specify that the permittee pay annual permit fees and applicable fees from District Rules 3010, 3030, 3050, 3080, 3090, 3110, and 3120. This requirement is stated in template condition #17.

Section 9.13.1 requires any report or document submitted under a permit requirement or a request for information by the District or EPA contain a certification by a responsible official as to truth, accuracy, and completeness. Compliance with this section will be assured by permit condition #26.

Section 9.13.2 contains inspection and entry requirements that allows an authorized representative of the District to enter a permittee's premises to inspect equipment, operations, work practices, permits on file, and to sample substances or monitor parameters for the purpose of assuring compliance with the permit requirements. Compliance with this section will be assured by permit conditions #18, #19, #20, and #21.

Section 9.16 requires that the permittee submit certification of compliance with the terms and standards of Title V permits to the EPA and the District annually (or more frequently as required by the applicable requirement or the District). Condition #41 assures compliance with this requirement.

Section 10.0 requires any application form, report, or compliance certification submitted pursuant to these regulations shall contain certification of truth, accuracy and completeness by a responsible official. Compliance with this section will be assured by permit condition #26.

District Rule 4101

Section 5.0 prohibits the discharge of any air contaminant for a period or periods aggregating more than 3 minutes in any one hour which is as dark or darker in shade as that designated as No. 1 on the Ringelmann Chart; or is of such opacity as to obscure an observer's view to a degree equal to or greater than the smoke described in Section 5.1 of Rule 4101. This requirement is stated in facility-wide template permit condition #22.

District Rule 4550

This rule applies to Confined Animal Facilities (CAF) located within the San Joaquin Valley Air Basin. The purpose of this rule is to limit emissions of Volatile Organic Compounds (VOC) from Confined Animal Facilities (CAF). Compliance is assured by condition #29.

District Rule 4601

This rule limits the emissions of VOCs from architectural coatings. It requires limiting the application of any architectural coating to no more than what is listed in the Table of Standards (Section 5.0). This rule further specifies labeling requirements, coatings thinning recommendations and storage requirements. See conditions #23, #24, and #25.

The latest version of District Rule 4601 has not been SIP approved. Appendix B contains the streamlining of the SIP approved District Rule 4601 (10/31/01) to the current District Rule 4601 to show the current rule is as stringent if not more than the SIP approved version.

<u>District Rule 4002 - National Emissions Standards for Asbestos - 40 CFR Part 61.145, 61.150</u>

There are applicable requirements from the National Emissions Standards for Hazardous Air Pollutants that apply to all sources in general. These requirements pertain to asbestos removal and disposal from renovated or demolished structures. Compliance is assured for these requirements by template permit condition #40.

Title VI of the CAA - Stratospheric Ozone

There are applicable requirements from Title VI of the CAA (Stratospheric Ozone) that apply to all sources in general. These requirements pertain to air conditioners, chillers and refrigerators located at a Title V source and to disposal of air conditioners or maintenance/recharging/disposal of motor vehicle air conditioners (MVAC). These requirements are addressed in template permit conditions # 27 and #28.

District Rule 8011

The provisions of this rule are applicable to outdoor fugitive dust sources as such specified in District Rule 8081. The definitions, exemptions, requirements, administrative requirements, recordkeeping requirements, and test methods set forth in this rule are applicable to all Rules under Regulation VIII (Fugitive PM10).

Prohibitions) of the Rules and Regulations of the San Joaquin Valley Unified Air Pollution Control District.

District Rule 8081

This regulation contains requirements for the control of fugitive dust. These requirements apply to various off field agricultural sources, including outdoor storage piles, paved and unpaved roads. Compliance with this rule will be required by permit conditions #29 - #34.

V. Permit Shield

A permit shield legally protects a facility from enforcement of the shielded regulations when a source is in compliance with the terms and conditions of the Title V permit. Compliance with the terms and conditions of the Title V permit is considered compliance with all applicable requirements upon which those conditions are based.

District Rule 1100, 6.1 and 7.0

Compliance with these requirements was addressed in Section IV of this document, and is assured by conditions #1 and #2. Therefore, a permit shield is being granted for these requirements in condition #45.

District Rule 2010, 3.0 and 4.0

Compliance with these requirements was addressed in Section IV of this document, and is assured by condition #4. Therefore, a permit shield is being granted for these requirements in condition #45.

District Rule 2031; 2070, and 2080

Compliance with these requirements was addressed in Section IV of this document, and is assured by conditions #5 and #6. Therefore, a permit shield is being granted for these requirements in condition #45.

District Rule 2040

Compliance with these requirements was addressed in Section IV of this document, and is assured by condition #7. Therefore, a permit shield is being granted for these requirements in condition #45.

District Rule 4101

Compliance with these requirements was addressed in Section IV of this document, and is assured by condition #22. Therefore, a permit shield is being granted for these requirements in condition #45.

District Rule 4550

Compliance with these requirements was addressed in Section IV of this document, and is assured by condition #29. Therefore, a permit shield is being granted for these requirements in condition #45.

District Rule 4601

Compliance with these requirements was addressed in Section IV of this document, and is assured by conditions #23, #24 and #25. Therefore, a permit shield is being granted for these requirements in condition #45.

District Rule 8081

Compliance with these requirements was addressed in Section IV of this document, and is assured by conditions #30 - #39. Therefore, a permit shield is being granted for these requirements in condition #45.

County APCD Rules

Where current District rules assure compliance with comparable county rules that are part of the SIP, a permit shield is granted in template permit condition #44.

VI. Permit Conditions

These permit conditions will be incorporated into the Title V permit of any facility choosing to make use of this Umbrella Template:

- 1. The owner or operator shall notify the District of any breakdown condition as soon as reasonably possible, but no later than one hour after its detection, unless the owner or operator demonstrates to the District's satisfaction that the longer reporting period was necessary. [District Rule 1100, 6.1; County Rules 110 (Fresno, Stanislaus, San Joaquin); 109 (Merced); 113 (Madera); and 111 (Kern, Tulare, Kings)]
- 2. The District shall be notified in writing within ten days following the correction of any breakdown condition. The breakdown notification shall include a description of the equipment malfunction or failure, the date and cause of the initial failure, the estimated emissions in excess of those allowed, and the methods utilized to restore normal operations. [District Rule 1100, 7.0; County Rules 110 (Fresno, Stanislaus, San Joaquin); 109 (Merced); 113 (Madera); and 111 (Kern, Tulare, Kings)]
- 3. The owner or operator of any stationary source operation that emits more than 25 tons per year of nitrogen oxides or reactive organic compounds, shall provide the District annually with a written statement in such form and at such time as the District prescribes, showing actual emissions of nitrogen oxides and reactive organic compounds from that source. [District Rule 1160, 5.0]
- 4. Any person building, altering or replacing any operation, article, machine, equipment, or other contrivance, the use of which may cause the issuance of air contaminants or the use of which may eliminate, reduce, or control the issuance of air contaminants, shall first obtain an Authority to Construct (ATC) from the District unless exempted by District Rule 2020 (12/20/07). [District Rule 2010, 3.0 and 4.0; and 2020]

- 5. The permittee must comply with all conditions of the permit including permit revisions originated by the District. All terms and conditions of a permit that are required pursuant to the Clean Air Act (CAA), including provisions to limit potential to emit, are enforceable by the EPA and Citizens under the CAA. Any permit noncompliance constitutes a violation of the CAA and the District Rules and Regulations, and is grounds for enforcement action, for permit termination, revocation, reopening and reissuance, or modification; or for denial of a permit renewal application. [District Rules 2070, 7.0; 2080; and 2520, 9.9.1 and 9.13.1]
- 6. A Permit to Operate or an Authority to Construct shall not be transferred unless a new application is filed with and approved by the District. [District Rule 2031]
- 7. Every application for a permit required under Rule 2010 (12/17/92) shall be filed in a manner and form prescribed by the District. [District Rule 2040]
- 8. The operator shall maintain records of required monitoring that include: 1) the date, place, and time of sampling or measurement; 2) the date(s) analyses were performed; 3) the company or entity that performed the analysis; 4) the analytical techniques or methods used; 5) the results of such analysis; and 6) the operating conditions at the time of sampling or measurement. [District Rule 2520, 9.4.1]
- 9. The operator shall retain records of all required monitoring data and support information for a period of at least 5 years from the date of the monitoring sample, measurement, or report. Support information includes copies of all reports required by the permit and, for continuous monitoring instrumentation, all calibration and maintenance records and all original strip-chart recordings. [District Rule 2520, 9.4.2]
- 10. The operator shall submit reports of any required monitoring at least every six months unless a different frequency is required by an applicable requirement. All instances of deviations from permit requirements must be clearly identified in such reports. [District Rule 2520, 9.5.1]
- 11. Deviations from permit conditions must be promptly reported, including deviations attributable to upset conditions, as defined in the permit. For the purpose of this condition, promptly means as soon as reasonably possible, but no later than 10 days after detection. The report shall include the probable cause of such deviations, and any corrective actions or preventive measures taken. All required reports must be certified by a responsible official consistent with section 10.0 of District Rule 2520 (6/21/01). [District Rules 2520, 9.5.2 and 1100, 7.0]
- 12. If for any reason a permit requirement or condition is being challenged for its constitutionality or validity by a court of competent jurisdiction, the outcome of such challenge shall not affect or invalidate the remainder of the conditions or requirements in that permit. [District Rule 2520, 9.7]

- 13. It shall not be a defense for a permittee in an enforcement action that it would have been necessary to halt or reduce the permitted activity in order to maintain compliance with the conditions of the permit. [District Rule 2520, 9.8.2]
- 14. The permit may be modified, revoked, reopened and reissued, or terminated for cause. The filing of a request by the permittee for a permit modification, revocation and reissuance, or termination, or a notification of planned changes or anticipated noncompliance does not stay any permit condition. [District Rule 2520, 9.8.3]
- 15. The permit does not convey any property rights of any sort, or any exclusive privilege. [District Rule 2520, 9.8.4]
- 16. The Permittee shall furnish to the District, within a reasonable time, any information that the District may request in writing to determine whether cause exists for modifying, revoking and reissuing, or terminating the permit or to determine compliance with the permit. Upon request, the permittee shall also furnish to the District copies of records required to be kept by the permit or, for information claimed to be confidential, the permittee may furnish such records directly to EPA along with a claim of confidentiality. [District Rule 2520, 9.8.5]
- 17. The permittee shall pay annual permit fees and other applicable fees as prescribed in Regulation III of the District Rules and Regulations. [District Rule 2520, 9.9]
- 18. Upon presentation of appropriate credentials, a permittee shall allow an authorized representative of the District to enter the permittee's premises where a permitted source is located or emissions related activity is conducted, or where records must be kept under condition of the permit. [District Rule 2520, 9.13.2.1]
- 19. Upon presentation of appropriate credentials, a permittee shall allow an authorized representative of the District to have access to and copy, at reasonable times, any records that must be kept under the conditions of the permit. [District Rule 2520, 9.13.2.2]
- 20. Upon presentation of appropriate credentials, a permittee shall allow an authorized representative of the District to inspect at reasonable times any facilities, equipment, practices, or operations regulated or required under the permit. [District Rule 2520, 9.13.2.3]
- 21. Upon presentation of appropriate credentials, a permittee shall allow an authorized representative of the District to sample or monitor, at reasonable times, substances or parameters for the purpose of assuring compliance with the permit or applicable requirements. [District Rule 2520, 9.13,2.4]
- 22. No air contaminants shall be discharged into the atmosphere for a period or periods aggregating more than 3 minutes in any one hour which is as dark or darker than Ringelmann #1 or equivalent to 20% opacity and greater, unless

- specifically exempted by District Rule 4101 (02/17/05). If the equipment or operation is subject to a more stringent visible emission standard as prescribed in a permit condition, the more stringent visible emission limit shall supersede this condition. [District Rule 4101, and County Rules 401 (in all eight counties in the San Joaquin Valley)]
- 23. No person shall manufacture, blend, repackage, supply, sell, solicit or apply any architectural coating with a VOC content in excess of the corresponding limit specified in Table of Standards 1 effective until 12/30/10 or Table of Standards 2 effective on and after 1/1/11 of District Rule 4601 (12/17/09) for use or sale within the District. [District Rule 4601, 5.1]
- 24. All VOC-containing materials subject to Rule 4601 (12/17/09) shall be stored in closed containers when not in use. [District Rule 4601, 5.4]
- 25. The permittee shall comply with all the Labeling and Test Methods requirements outlined in Rule 4601 sections 6.1 and 6.3 (12/17/09). [District Rule 4601, 6.1 and 6.3]
- 26. With each report or document submitted under a permit requirement or a request for information by the District or EPA, the permittee shall include a certification of truth, accuracy, and completeness by a responsible official. [District Rule 2520, 9.13.1 and 10.0]
- 27. If the permittee performs maintenance on, or services, repairs, or disposes of appliances, the permittee shall comply with the standards for Recycling and Emissions Reduction pursuant to 40 CFR Part 82, Subpart F. [40 CFR 82 Subpart F]
- 28. If the permittee performs service on motor vehicles when this service involves the ozone-depleting refrigerant in the motor vehicle air conditioner (MVAC), the permittee shall comply with the standards for Servicing of Motor Vehicle Air Conditioners pursuant to all the applicable requirements as specified in 40 CFR Part 82, Subpart B. [40 CFR Part 82, Subpart B]
- 29. The owner/operator shall implement the Conservation Management Practices (CMPs) as contained in the CMP Plan approved by APCO. The owner/operator that discontinues the implementation of a CMP as committed to in a CMP Plan or makes other changes that are inconsistent with the CMP Plan shall submit a CMP modification application within 60 days of any modification that necessitates the revision of the CMP Plan. [District Rule 4550, 5.0]
- 30. Outdoor handling, storage and transport of any bulk material which emits dust shall comply with the requirements for bulk materials of section 5.1 of District Rule 8081, unless specifically exempted under Section 4.0 of Rule 8081 (9/16/2004) or Rule 8011 (8/19/2004). [District Rule 8081 and 8011]

- 31. An owner/operator shall prevent or cleanup any carryout or trackout as specified in California Vehicle Code 23112-23113. [District Rule 8081]
- 33. Any paved road segment shall comply with the requirements of District Rule 8061 unless specifically exempted under Section 4.0 of Rule 8081 (9/16/2004) or Rule 8011 (8/19/2004). [District Rule 8081 and Rule 8011]
- 34. The owner/operator shall limit VDE to 20% opacity and comply with the requirements of section 5.2.2.1 of District Rule 8081, on each day that 75 or more vehicle daily trips (VDT), or 25 or more VDT with 3 or more axles, will occur on an unpaved road segment. All sources shall comply with the requirements of Section 5.2.2.1 of District Rule 8081 unless specifically exempted under Section 4.0 of Rule 8081 (9/16/2004) or Rule 8011 (8/19/2004). [District Rule 8081 and Rule 8011]
- 35. The owner/operator shall limit VDE to 20% opacity and comply with the requirements of section 5.3.1 of District Rule 8081, where 50 or more Average Annual Daily Trips (AADT) will occur on an unpaved vehicle/equipment traffic area. All sources shall comply with the requirements of Section 5.3.1 of District Rule 8081 unless specifically exempted under Section 4.0 of Rule 8081 (9/16/2004) or Rule 8011 (8/19/2004). [District Rule 8081 and Rule 8011]
- 36. The owner/operator shall implement the requirement specified in section 5.3.1.1 through 5.3.1.6 of District Rule 8081, for unpaved vehicle/equipment traffic areas with 150 or more VDT, or 150 or more VDT that are utilized intermittently for a period of 30 days or less during the calendar year. All sources shall comply with the requirements of Section 5.3.2 of District Rule 8081 unless specifically exempted under Section 4.0 of Rule 8081 (9/16/2004) or Rule 8011 (8/19/2004). [District Rule 8081 and Rule 8011]
- 37. The owner/operator shall limit VDE to 20% opacity and implement the requirement specified in section 5.3.1.1 through 5.3.1.6 of District Rule 8081, on each day that 25 or more VDT with 3 or more axles will occur on an unpaved vehicle/equipment traffic area. All sources shall comply with the requirements of Section 5.3.3 of District Rule 8081 unless specifically exempted under Section 4.0 of Rule 8081 (9/16/2004) or Rule 8011 (8/19/2004). [District Rule 8081 and Rule 8011]
- 38. The owner/operator may apply/re-apply water to limit VDE to 20% opacity, on each day that 75 or more VDT, or 26 or more VDT with 3 or more axles originates from within and remains exclusively within an unpaved vehicle/equipment traffic area. All sources shall comply with the requirements of Section 5.3.4 of District Rule 8081 unless specifically exempted under Section 4.0 of Rule 8081 (9/16/2004) or Rule 8011 (8/19/2004). [District Rule 8081 and Rule 8011
- 39. An owner/operator shall restrict access and periodically stabilize a disturbed surface area whenever unpaved vehicle/equipment traffic site becomes inactive

- at the end of the workday to comply with the conditions for a stabilized unpaved road as defined in Rule 8011 (8/19/2004). [District Rule 8081 and Rule 8011]
- 40. Any owner or operator of a demolition or renovation activity, as defined in 40 CFR 61.141, shall comply with the applicable inspection, notification, removal, and disposal procedures for asbestos containing materials as specified in 40 CFR 61.145 (Standard for Demolition and Renovation). [40 CFR 61 Subpart M]
- 41. The permittee shall submit certifications of compliance with the terms and standards contained in Title V permits, including emission limits, standards and work practices, to the District and the EPA annually (or more frequently as specified in an applicable requirement or as specified by the District). The certification shall include the identification of each permit term or condition, the compliance status, whether compliance was continuous or intermittent, the methods used for determining the compliance status, and any other facts required by the District to determine the compliance status of the source. [District Rule 2520, 9.16]
- 42. The permittee shall submit an application for Title V permit renewal to the District at least six months, but not greater than 18 months, prior to the permit expiration date. [District Rule 2520, 5.2]
- 43. When a term is not defined in a Title V permit condition, the definition in the rule cited as the origin and authority for the condition in a Title V permits shall apply. [District Rule 2520, 9.1.1]
- 44. Compliance with permit conditions in the Title V permit shall be deemed in compliance with the following outdated SIP requirements: Rule 401 (Madera, Fresno, Kern, Kings, San Joaquin, Stanislaus, Tulare and Merced), Rule 110 (Fresno, Stanislaus, San Joaquin), Rule 109 (Merced), Rule 113 (Madera), Rule 111 (Kern, Tulare, Kings), and Rule 202 (Fresno, Kern, Tulare, Kings, Madera, Stanislaus, Merced, San Joaquin). A permit shield is granted from these requirements. [District Rule 2520, 13.2]
- 45. Compliance with permit conditions in the Title V permit shall be deemed in compliance with the following applicable requirements: SJVUAPCD Rules 1100, sections 6.1 and 7.0 (12/17/92); 2010, sections 3.0 and 4.0 (12/17/92); 2031 (12/17/92); 2040 (12/17/92); 2070, section 7.0 (12/17/92); 2080 (12/17/92); 4101 (2/17/05); 4550 (8/19/2004); 4601 (12/1709); and 8081 (9/16/2004). A permit shield is granted from these requirements. [District Rule 2520, 13.2]

APPENDIX A

TEMPLATE QUALIFICATION FORM FOR TEMPLATE # SJV-UA-0-0

Title V General Permit Template Qualification Form For Agricultural Sources Facility-Wide Umbrella Template

District facility ID #	•
To use this template, remove this sheet and attach in this template will be placed on your Title V permi	
Any agricultural source may use this facility-wide temp	plate as part of its Title V application.
Based on information and belief formed after reas this form is true and correct and 2) the facility cert permit conditions.	• • •
Signature of Responsible Official	Date
Name of Responsible Official (Please Print)	•

APPENDIX B

Stringency Comparison for District Rule 4601

Stringency Comparison of District Rule 4601 Non-SIP Version (12/17/09) to Current SIP Version (10/31/01)

Requirement Category	SIP Version of Rule 4601 (10/31/01)	Non-SIP Version of Rule 4601 (12/17/09)	Conclusion
2.0 Applicability	This rule is applicable to any person who supplies, sells, offers for sale, applies, or solicits the application of any architectural coating, or who manufactures any architectural coating for use within the District.	This rule is applicable to any person who supplies, sells, offers for sale, applies, or solicits the application of any architectural coating, or who manufactures, blends or repackages any architectural coating for use within the District.	No change in the applicability, therefore, non-SIP version of rule is as stringent as SIP version.
4.0 Exemptions	The provisions of this rule shall not apply to: 4.1 Any architectural coating that is sold or manufactured for use outside of the District or for shipment to other manufacturers for reformulation or repackaging. 4.2 Any architectural coating that is sold in a containers with a volume of one liter (1.057 quarts) or less. 4.3 Any aerosol coating product.	4.1 The provisions of this rule shall not apply to: 4.1.1 Any architectural coating that is supplied, sold, offered for sale, or manufactured for use outside of the District or for shipment to other manufacturers for reformulation or repackaging. 4.1.2 Any aerosolicoating product. 4.2 With the exception of Section 6.2, the provisions of this rule shallinot apply to any architectural coating that is sold in a container with a volume of one liter (1.057 quarts) or less.	The only change is to require reporting requirements as discussed in Section 6.2 of the non-SIP approved version. Therefore, the non-SIP version of the rule is more stringent than the SIP version of the rule.
5.0 Requirements	Note: Section 5.0 requirements refer to Table tables are included as Attachment X.		of Standards 2. These
	5.1 VOC Content Limits: Except as provided in Sections 5.2, 5.3, 5.8 and 8.0, no person shall; 5.1.1 manufacture, blend, or repackage for sale within the District; 5.1.2 supply, sell, or offer for sale within the district; 5.1.3 solicit for application or apply within the District any architectural coating with a VOC content in excess of the corresponding limit specified in the Table of Standards, after the specified effective date in the Table of Standards.	5.1 VOC Content Limits: Except as provided in Sections 5.2 and 5.3, no person shall: manufacture, blend, or repackage for use within the District; or supply, sell, or offer for sale within the District, or solicit for application or apply within the District any architectural coating with a VOC content in excess of the corresponding limit specified in the Table of Standards 1 or the Table of Standards 2, after the specified effective date in the Table of Standards 2. Limits are expressed as VOC Regulatory, thinned to the manufacturer's maximum thinning recommendation, excluding any colorant added to tint bases.	Sections 5.8 and 8.0 of the SIP version are not included in the non-SIP version. As discussed in corresponding sections the non-SIP version is more stringent. The Table of Standards and Table of Standards 1 have the same VOC limits. Table of Standard 2 is more stringent as discussed below. Therefore, the non-SIP version of the rule is more stringent than the SIP version of the rule.
	5.2 Most Restrictive VOC Limit: If anywhere on the container of any architectural coating, or any label or sticker affixed to the container, or in any sales, advertising, or technical literature supplied by a manufacturer or anyone acting on their behalf, any representation is made that indicates that the coating meets the definition of or is recommended for use for more than one of the coating categories listed in the Table of Standards, then the most restrictive VOC content limit shall apply. This provision does not apply to the following coating categories: 5.2.1 Lacquer coatings (including lacquer sanding sealers) 5.2.2 Metallic pigmented coatings 5.2.3 Shellacs 5.2.4 Fire-retardant coatings 5.2.5 Pretreatment wash primers 5.2.6 Industrial maintenance coatings	5.2 Most Restrictive VOC Limit: If a coating meets the definition in Section 3.0 for one or more specialty coating categories listed in the Table of Standards 1 or the Table of Standards 2, then that coating is not required to meet the VOC limits for Flat, Nonflat, or Nonflat – High Gloss coatings, but is required to meet the VOC limit for the applicable specialty coating listed in the Table of Standards 1 or the Table of Standards 2. 5.2.1 Effective until December 31, 2010, with the exception of the specialty coating categories specified in Section 5.2.3.1 through 5.2.3.15, if a coating is recommended for use in more than one of the specialty coating categories listed in the Table of Standards 1, the most restrictive (or lowest) VOC content limit shall apply. 5.2.2 Effective on and after January 1, 2011, with the exception of the	The VOC limit of the non-SIP version is at least as stringent as the SIP version. Therefore, the non-SIP version of the rule is more stringent than the SIP version of the rule.

Requirement Category	SIP Version of Rule 4601 (10/31/01)	Non-SIP Version of Rule 4601 (12/17/09)	Conclusion
	5.2.8 Wood preservatives 5.2.9 High temperature coatings 5.2.10 Temperature-indicator safety coatings 5.2.11 Antenna coatings 5.2.12 Antifouling coatings 5.2.13 Flow coatings 5.2.14 Bituminous roof primers 5.2.15 Specialty primers, sealers and undercoaters	specialty coating categories specified in Sections 5.2.3.2, 5.2.3.3, 5.2.3.5 through 5.2.3.9, and 5.2.3.14 through 5.2.3.18, if a coating is recommended for use in more than one of the specialty coating categories listed in the Table of Standards 2, the most restrictive (or lowest) VOC content limit shall apply. 5.2.3 This requirement applies to: usage recommendations that appear anywhere on the coating container, anywhere on any label or sticker affixed to the container, or in any sales, advertising, or technical literature supplied by a manufacturer or anyone acting on their behalf. 5.2.3.1 Lacquer coatings (including lacquer sanding sealers) 5.2.3.2 Metallic pigmented coatings 5.2.3.3 Shellacs 5.2.3.4 Fire-retardant coatings 5.2.3.5 Pretreatment wash primers 5.2.3.6 Industrial maintenance coatings 5.2.3.7 Low-solids coatings 5.2.3.8 Wood preservatives 5.2.3.9 High temperature coatings 5.2.3.10 Temperature-indicator safety coatings 5.2.3.11 Antenna coatings 5.2.3.12 Antifouling coatings 5.2.3.13 Flow coatings 5.2.3.14 Bituminous roof primers 5.2.3.15 Specialty primers, sealers and undercoaters 5.2.3.16 Aluminum roof coatings 5.2.3.17 Zinc-rich primers	
	5.3 Sell-Through of Coatings: 5.3.1 A coating manufactured prior to the January 1, 2003 or January 1, 2004 effective date specified for that coating in the Table of Standards may be sold, supplied, or offered for sale for up to three years after the specified effective date. In addition, a coating manufactured before the effective date specified for that coating in the Table of Standards may be applied at any time, both before and after the specified effective date, so long as the coating complied with the standards in effect at the time the coating was manufactured. This Section 5.3 does not apply to any coating that does not display the date or date-code required by Section 6.1.1. 5.3.2 A coating included in an approved Averaging Program that does not	5.2.3.18 Wood Coatings 5.3 Sell-Through of Coatings: A coating manufactured prior to the effective date specified for that coating in the Table of Standards 1 or the Table of Standards 2, and that complied with the standards in effect at the time the coating was manufactured, may be sold, supplied, or offered for sale for up to three years after the specified effective date. In addition, a coating manufactured before the effective date specified for that coating in the Table of Standards 1 or the Table of Standards 2 may be applied at any time, both before and after the specified effective date, so long as the coating complied with the standards in effect at the time the coating was manufactured. This Section 5.3 does not apply to any coating that does not display the date or date-code	The VOC limit of the non-SIP version is at least as stringent as the SIP version. Section 5.3.2 was removed it is no longer applicable in the SIP version. Therefore, the non-SIP version of the rule is more stringent than the SIP version of the rule.

Requirement Category	SIP Version of Rule 4601 (10/31/01)	Non-SIP Version of Rule 4601 (12/17/09)	Conclusion
	Table of Standards may be sold, supplied, or offered for sale for up to three years after the end of the compliance period specified in the approved Averaging Program. In addition, such a coating may be applied at any time, both during and after the compliance period. This Section 5.3.2 does not apply to any coating that does not display on the container either the statement: "This product is subject to architectural coatings averaging provisions in California," or a substitute symbol specified by the Executive Officer of the California Air Resources Board (ARB). This Section 5.3.2 shall remain in effect until January 1, 2008. 5.4 Painting Practices: All architectural coating containers used to apply the contents therein to a surface directly from the container by pouring, siphoning, brushing, rolling, padding, ragging or other means, shall be closed when not in use. These architectural coating containers include, but are not limited to, drums, buckets, cans, pails, trays or other application containers. Containers of any VOC containing materials used for thinning and cleanup shall also be closed when not in use.	5.4 Painting Practices: All architectural coating containers used to apply the contents therein to a surface directly from the container by pouring, siphoning, brushing, rolling, padding, ragging or other means, shall be closed when not in use. These architectural coating containers include, but are not limited to, drums, buckets, cans, pails, trays or other application containers. Containers of any VOC-containing materials used for thinning and cleanup shall also be closed when not in use.	No change in the requirements, therefore, non-SIP version of rule is as stringent as SIP version.
	5.5 Thinning: No person who applies or solicits the application of any architectural coating shall apply a coating that is thinned to exceed the applicable VOC limit specified in the Table of Standards.	5.5 Thinning: No person who applies or solicits the application of any architectural coating shall apply a coating that is thinned to exceed the applicable VOC limit specified in the Table of Standards 1 or the Table of Standards 2.	The VOC limit of the non- SIP version is at least as stringent as the SIP version. Therefore, the non-SIP version of the rule is more stringent than the SIP version of the rule.
·	5.6 Rust Preventative Coatings: Effective January 1, 2004, no person shall apply or solicit the application of any rust preventative coating for industrial use, unless such a rust preventative coating complies with the industrial maintenance coating VOC limit specified in the Table of Standards.	5.6 Rust Preventative Coatings: Effective through December 31, 2010, no person shall apply or solicit the application of any rust preventative coating for industrial use, unless such a rust preventative coating complies with the industrial maintenance coating VOC limit specified in the Table of Standards 1.	The VOC limit of the non- SIP version is at least as stringent as the SIP version. Therefore, the non-SIP version of the rule is more stringent than the SIP version of the rule.
	5.7 Coatings Not:Listed in the Table of Standards: For any coating that does not meet any of the definitions for the specialty coatings categories listed in the Table of Standards, the VOC content limit shall be determined by classifying the coating as a flat coating or a nonflat coating, based on its gloss, as defined in Sections 3.21, 3.36 and 3.37 and the corresponding flat or nonflat VOC limit shall apply.	5.7 Coatings Not Listed in the Table of Standards 1 or the Table of Standards 2: For any coating that does not meet any of the definitions for the specialty coatings categories illsted in the Table of Standards 1 or the Table of Standards 2, the VOC content limit shall be determined by classifying the coating as a Flat, Nonflat, or Nonflat — High Gloss coating, based on its gloss, and the corresponding Flat, Nonflat, or Nonflat — High Gloss VOC limit in the Table of Standards 1 or the Table of Standards 2 shall apply.	The VOC limit of the non- SIP version is at least as stringent as the SIP version. Therefore, the non-SIP version of the rule is more stringent than the SIP version of the rule.
	5.8 Lacquers: Notwithstanding the provisions of Section 3.1, a person or facility may add up to 10 percent by volume of VOC to a lacquer to avoid blushing of the finish during days with relative humidity greater		This section has been removed. The operation is required to meet the lacquer VOC limit regardless of

Requirement Category	SIP Version of Rule 4601 (10/31/01)	Non-SIP Version of Rule 4601 (12/17/09)	Conclusion
	than 70 percent and temperature below 65°F, at the time of application, provided that the coating contains acetone and no more than 550 grams of VOC per liter of coating, less water and exempt compounds, prior to the addition of VOC.		temperature and humidity. Therefore, non-SIP version of rule is as stringent as SIP version
	5.9 Averaging Compliance Option: On or after January 1, 2003, in lieu of compliance with the specified limits in The Table of Standards for floor coatings; industrial maintenance coatings; primers, sealers, and undercoaters; quick-dry primers, sealers, and undercoaters; quick-dry enamels; roof coatings; bituminous roof coatings; rust preventative coatings; stains; waterproofing sealers, as well as flats and non-flats (excluding recycled coatings), manufacturers may average designated coatings such that their actual cumulative emissions from the averaged coatings are less than or equal to the cumulative emissions that would have been allowed under those limits over a compliance period not to exceed one year. Such manufacturers must also comply with the averaging provisions contained in Section 8.0, as well as maintain and make available for inspection records for at least three years after the end of the compliance period. This Section 5.9 and Section 8.0 shall cease to be effective on January 1, 2005, after which averaging will no longer be allowed.		This section is removed from the non-SIP version, it is no longer applicable. Therefore, non-SIP version of rule is as stringent as SIP version.
		5.8 Prior to January 1, 2011, any coating that meets a definition in Section 3.0 for a coating category listed in the Table of Standards 2 and complies with the applicable VOC limit in the Table of Standards 2 and with Sections 5.2 and 6.1 (including those provision of Section 6.1 otherwise effective on January 1, 2011) shall be considered in compliance with this rule.	Table of Standards 2 is more stringent than the VOC limits of Table of Standards in the SIP-Approved version. Therefore, non-SIP version of rule is as stringent as SIP version.
	Table of Standards (See Attachment X for Table)	Table of Standards 1 (Effective through 12/31/10) (See Attachment X for Table) Table of Standards 2 (Effective on and after	The non-SIP rule requirements are the same as the Table of Standards in the SIP approved rule, except Table of Standards 1 expires at which time Table of Standards 2 is in effect. As discussed below these standards are more stringent. Therefore, non-SIP version of rule is as stringent as SIP version.
		1/1/11) (See Attachment X for Table)	Table of Standards 2 are more stringent than the Table of Standards in the SIP rule. Therefore, non-SIP version of rule is as stringent as SIP version.
6:0 Administrative Requirements	6.1 Labeling Requirements: Each manufacturer of any architectural coating subject to this rule shall display the information listed in Sections	6.1 Labeling Requirements: Each manufacturer of any architectural coating subject to this rule shall display the	The non-SIP approved rule contain sections listed in the SIP rule plus

Requirement	SIP Version of Rule 4601	Non-SIP Version of Rule 4601	
			Conclusion
Category	(10/31/01)	(12/17/09)	
	6.1.1 through 6.1.9 on the coating container (or label) in which the coating is sold or distributed.	information listed in Sections 6.1.1 through 6.1.14 on the coating container (or label) in which the coating is sold or distributed.	additional requirements not found in the SIP version. Therefore, non-
	6:1.1 Date Code: The date the coating was	• • • • • • • • • • • • • • • • • • • •	SIP version of rule is as
	manufactured, or a date code	6.1.1 Date Code: The date the coating was	stringent as SIP version.
	representing the date, shall be indicated	manufactured, or a date code	
	on the label, lid or bottom of the container. If the manufacturer uses a	representing the date, shall be	
	date code for any coating, the	indicated on the label, lid or bottom of the container. If the manufacturer	
	manufacturer shall file an explanation of	uses a date code for any coating, the	
	each code with the Executive Officer of	manufacturer shall file an explanation	
	the ARB.	of each code with the Executive	
	6.1.2 Thinning Recommendations: A	Officer of the ARB.	
	statement of the manufacturer's	6.1.2 Thinning Recommendations: A	
	recommendation regarding thinning of the coating shall be indicated on the label	statement of the manufacturer's recommendation regarding thinning	
	or lid of the container. This requirement	of the coating shall be indicated on	
Į.	does not apply to the thinning of	the label or lid of the container. This	
	architectural coatings with water. If	requirement does not apply to the	
	thinning of the coating prior to use is not	thinning of architectural coatings with	
	necessary, the recommendation must specify that the coating is to be applied	water. If thinning of the coating prior to use is not necessary, the	
l	without thinning.	recommendation must specify that	
	6.1.3 VOC Content: Each container of any	the coating is to be applied without	
	coating subject to this rule shall display	thinning.	
	either the maximum or actual VOC	6.1.3 VOC Content: Each container of any	
	content of the coating, as supplied,	coating subject to this rule shall	
	including the maximum thinning as recommended by the manufacturer. VOC	display one of the following values, in grams of VOC per liter of coating:	
	content shall be displayed in grams of	6.1.3.1 Maximum VOC Content, as	
	VOC per liter of coating. VOC content	determined from all potential	
	displayed shall be calculated using	product formulations; or	•
	product formulation data, or shall be determined using the test methods in	6.1.3.2 VOC Content, as determined from actual	
	Section Section	formulation data; or	
	6.3.1. The equations in Sections 3.25 or 3.26,	6.1.3.3 VOC Content, as	
	as appropriate, shall be used to calculate	determined using the test	
	VOC content.	methods in Section 6.3.2.	
	6.1.4 Industrial Maintenance Coatings: In addition to the information specified in	If the manufacturer does not recommend thinning, the container	
	Sections 6.1.1, 6.1.2 and 6.1.3, each	must display the VOC Content, as	· I
	manufacturer of any industrial	supplied. If the manufacturer	•
	maintenance coating subject to this rule	recommends thinning, the container	
	shall display on the label or lid of the container in which the coating is sold or	must display the VOC Content, including the maximum amount of	
	distributed one or more of the following	thinning solvent recommended by the	
	descriptions listed in Section 6.1.4.1	manufacturer. If the coating is a	
	through 6.1.4.3.	multicomponent product, the	
	6.1.4.1 "For industrial use only"	container must display the VOC	
	6.1.4.2 "For professional use only" 6.1.4.3 "Not for residential use" or "Not	content as mixed or catalyzed. If the coating contains silanes, siloxanes, or	
	intended for residential use"	other ingredients that generate	
	6.1.5 Clear Brushing Lacquers: Effective	ethanol or other VOCs during the	
· ·	January 1, 2003, the labels of all clear	curing process, the VOC content	
·	brushing lacquers shall prominently display the statements "For brush	must include the VOCs emitted during curing.	
	application only," and "This product must	6.1.4 Faux Finishing Coatings: Effective	
	not be thinned or sprayed."	January 1, 2011, the labels of all	
	6.1.6 Rust Preventative Coatings: Effective	clear topcoat Faux Finishing coatings	
	January 1, 2003, the labels of all rust	shall prominently display the	
	preventative coatings shall prominently display the statement "For Metal	statement "This product can only be sold or used as part of a Faux	
	Substrates Only"	Finishing coating system".	
	6.1.7 Specialty Primers, Sealers and	6.1.5 Industrial Maintenance Coatings:	
	Undercoaters: Effective January 1, 2003,	Each manufacturer of any industrial	
	the labels of all specialty primers, sealers	maintenance coating subject to this	
	and undercoaters shall prominently	rule shall display on the label or lid of	

Requirement Category	SIP Version of Rule 4601 (10/31/01)	Non-SIP Version of Rule 4601 (12/17/09)	Conclusion
	display one or more of the descriptions listed in Section 6.1.7.1 through 6.1.7.5. 6.1.7.1 For blocking stains. 6.1.7.2 For fire-damaged substrates. 6.1.7.3 For smoke-damaged substrates.	the container in which the coating is sold or distributed one or more of the following descriptions listed in Section 6.1.5.1 through 6.1.5.3. 6.1.5.1 "For industrial use only" 6.1.5.2 "For professional use only"	
	6.1.7.4 For water-damaged substrates. 6.1.7.5 For excessively chalky substrates. 6.1.8 Quick Dry Enamels: Effective January 1, 2003, the labels of all quick dry enamels shall prominently display the words "Quick Dry" and the dry hard time.	6.1.5.2 "Not for residential use" or "Not intended for residential use" 6.1.6 Clear Brushing Lacquers: The labels of all clear brushing lacquers shall prominently display the statements	
	6.1.9 Non-flat – High Gloss Coatings: Effective January 1, 2003, the labels of all non-flat – high gloss coatings shall prominently display the words "High	"For brush application only," and "This product must not be thinned or sprayed." (Category deleted effective January 1, 2011.)	
	Gloss".	6.1.7 Rust Preventative Coatings: The labels of all rust preventative coatings shall prominently display the statement "For Metal Substrates Only".	
•		6.1.8 Specialty Primers, Sealers and Undercoaters: Effective until December 31, 2010, the labels of all specialty primers, sealers and	
		undercoaters shall prominently display one or more of the descriptions listed in Section 6.1.8.1 through 6.1.8.5. Effective on and after January 1, 2011, the labels of all	
		specialty primers, sealers, and undercoaters shall prominently display one or more of the descriptions listed in Sections 6.1.8.1	
		through 6.1.8.3. On and after January 1, 2011, Sections 6.1.8.4 and 6.1.8.5 will be no longer effective. 6.1.8.1 For fire-damaged	· · ·
		substrates. 6.1.8.2 For smoke-damaged substrates. 6.1.8.3 For water-damaged substrates.	
		6.1.8.4 For excessively chalky substrates. 6.1.8.5 For blocking stains. 6.1.9 Quick Dry Enamels: The labels of all	
•		quick dry enamels shall prominently display the words "Quick Dry" and the dry hard time. (Category deleted effective January 1, 2011.)	
		6.1.10 Reactive Penetrating Sealers: Effective January 1, 2011, the labels of all Reactive Penetrating Sealers shall prominently display the statement "Reactive Penetrating	
		Sealer." 6.1.11 Stone Consolidants: Effective January 1, 2011, the labels of all Stone Consolidants shall prominently	
		display the statement "Stone Consolidant - For Professional Use Only." 6.1.12 Nonflat- High Gloss Coatings: The	
		labels of all Nonflat – high gloss coatings shall prominently display the words "High Gloss:"	

Requirement Category	SIP Version of Rule 4601 (10/31/01)	Non-SIP Version of Rule 4601 (12/17/09)	Conclusion
		6.1.13 Wood Coatings: Effective January 1, 2011, the labels of all Wood Coatings shall prominently display the statement "For Wood Substrates Only." 6.1.14 Zinc Rich Primers: Effective January 1, 2011, the labels of all Zinc Rich Primers shall prominently display one or more of the following descriptions listed in Section 6.1.14.1 through 6.1.14.3. 6.1.14.1 "For industrial use only" 6.1.14.2 "For professional use only" 6.1.14.3 "Not for residential use" or "Not intended for residential use"	
	6.2 Reporting Requirements	6.2 Reporting Requirements	Until December 31, 2010
	6.2.1 Clear Brushing Lacquers: Each manufacturer of clear brushing lacquers shall, on or before April 1 of each calendar year beginning in the year 2004, submit an annual report to the Executive Officer of the ARB. The report shall specify the number of gallons of clear brushing lacquers sold in the State during the preceding calendar year, and shall describe the method used by the manufacturer to calculate State sales. 6.2.2 Rust Preventative Coatings: Each manufacturer of rust preventative coatings shall, on or before April 1 of each calendar year beginning in the year 2004, submit an annual report to the Executive Officer of the ARB. The report shall specify the number of gallons of rust preventative coatings sold in the State during the preceding calendar year, and shall describe the method used by the manufacturer to calculate State sales. 6.2.3 Specialty Primers, Sealers and Undercoaters: Each manufacturer of specialty primers, sealers and undercoaters shall, on or before April 1 of each calendar year beginning in the year 2004, submit an annual report to the Executive Officer of the ARB. The report shall specify the number of gallons of specialty primers, sealers and undercoaters sold in the State during the preceding calendar year, and shall describe the method used by the manufacturer to calculate State sales. 6.2.4 Toxic Exempt Compounds: For each architectural coating that contains perchloroethylene or methylene chloride, the manufacturer shall, on or before April 1 of each calendar year beginning in the year 2004, submit an annual report to the Executive Officer of the ARB the following information for products sold in the State during the preceding year: 6.2.4.1 the product brand name and a copy of the product label with legible usage instructions;	The reporting requirements specified in Sections 6.2.1 through 6.2.6 shall apply until December 31, 2010. 6.2.1 Clear Brushing Lacquers: Each manufacturer of clear brushing lacquers shall, on or before April: 1 of each calendar year beginning in the year 2004, submit an annual report to the Executive Officer of the ARB. The report shall specify the number of gallons of clear brushing lacquers sold in the State during the preceding calendar year, and shall describe the method used by the manufacturer to calculate State sales. 6.2.2 Rust Preventative Coatings: Each manufacturer of rust preventative coatings shall, on or before April 1 of each calendar year beginning in the year 2004, submit an annual report to the Executive Officer of the ARB. The report shall specify the number of gallons of rust preventative coatings sold in the State during the preceding calendar year, and shall describe the method used by the manufacturer to calculate State sales: 6.2.3 Specialty Primers, Sealers and Undercoaters: Each manufacturer of specialty primers, sealers and undercoaters shall, on or before April: 1 of each calendar year beginning in the year 2004, submit an annual report to the Executive Officer of the ARB. The report shall specify the number of gallons of specialty primers, sealers and undercoaters sold in the State during the preceding calendar year, and shall describe the method used by the manufacturer to calculate State sales. 6.2.4 Toxic Exempt Compounds: For each architectural coating that contains perchloroethylene or methylene chloride, the manufacturer shall, on or before April 1 of each calendar year beginning in the year 2004, submit an beginning in the year 2004, su	both versions of the rule have the same reporting requirements. After that date the non-SIP approved rule includes very specific information to be kept and is required for all architectural coatings. Therefore, non-SIP version of rule is as stringent as SIP version.

Category (10/31/01) 6.2.4.2 the product category listed in the Table of Standards to which the coating belongs; 6.2.4.3 the total sales in California during the calendar year to the nearest gallon; 6.2.4.4 the volume percent, to the nearest 0.10 percent, of perchloroethylene and methylene chloride in the coating. 6.2.5 Recycled Coatings: Manufacturers of recycled coatings must submit a letter to the Executive Officer of the ARB certifying their status as a Recycled Paint Manufacturer. The manufacturer shall, on or before April 1 of each calendar year beginning with the year 2004, submit an annual report to the Executive Officer of the ARB the following information for products sold in the State during the preceding year: 6.2.4.1 the product brand name and a copy of the product label with legible usage instructions; 6.2.4.2 the product category listed in the Table of Standards 1 or the Table of Standards 2 to which the coating belongs; 6.2.4.3 the total sales in California during the calendar year to the nearest gallon; 6.2.4.4 the volume percent, to the nearest gallon; 6.2.4.5 the product brand name and a copy of the product label with legible usage in the Table of Standards 2 to which the coating belongs; 6.2.4.2 the product category listed in the Table of Standards 2 to which the coating belongs; 6.2.4.3 the total sales in California during the calendar year to the nearest gallon; 6.2.4.4 the volume percent, to the nearest gallon; 6.2.4.5 the product brand name and a copy of the product label with legible usage in the Table of Standards 2 to which the coating belongs; 6.2.4.2 the product category listed in the Table of Standards 1 or the Table of Standards 2 to which the coating belongs; 6.2.4.3 the total sales in California during the calendar year to the nearest gallon;	
the ARB. The report shall include, for all recycled coatings, the total number of gallons distributed in the State during the preceding year, and shall describe the method used by the manufacturer to calculate State distribution. 6.2.6 Bituminous Coatings: Each manufacturer oblituminous roof primers shall, on or before April 1 of each calendar year beginning with the year 2004, submit an annual report to the Executive Officer of ARB. The report shall specify the number of gallons of bituminous roof coatings or bituminous roof primers sold in the State during the preceding calendar year, and shall describe the method used by the manufacturer to calculate State sales. Bituminous Coatings: Each manufacturer of bituminous roof coatings or bituminous roof primers sold in the State during the preceding year, and shall describe the method used by the manufacturer to calculate State sales. 6.2.6 Bituminous Coatings: Each manufacturer of bituminous roof coatings or bituminous roof primers shall, on or before April 1 of each calendar year apply with the year 2004, submit an annual report to the Executive Officer of ARB. The report shall include, for all recycled coatings, the total number of gallons distribution. 6.2.6 Bituminous Coatings: Each manufacturer of bituminous roof primers shall, on or before April 1 of each calendar year apply and the year 2004, submit an annual report to the Executive Officer of ARB. The report shall specify the number of gallons of bituminous roof primers sold in the State during the preceding calendar year, and shall describe the method used by the manufacturer to calculate state sales. 6.2.7 Effective on and after January 1, 2011, Sales Data: All sales data listed in Sections 6,2.7.1 to 6,2.7.14 shall be maintained on-site by the responsible official from each manufacturer shall inpon request of the Executive Officer of the ARB, or his or her delegate, provide data concerning the distribution and sales of architectural coatings. Sales data submitted by the responsible official to the	

Requirement Category	SIP Version of Rule 4601 (10/31/01)	Non-SIP Version of Rule 4601 (12/17/09)	Conclusion
		California Code of Regulations	
		Sections 91000-91022. The	
ì		responsible official shall within 180 days provide information, including.	
		but not limited to the data listed in	
		Sections 6.2.7.1 through 6.2.7.14:	•
		6.2.7.1 the name and mailing	
		address of the manufacturer;	
		6.2.7.2 the name, address and	
		telephone number of a	
Ì		contact person;	
		6.2.7.3 the name of the coating	
		product as it appears on the label and the applicable	
		coating category;	
		6.2.7.4 whether the product is	
		marketed for interior or	
		exterior use or both;	
		6.2.7.5 the number of gallons sold	
		in California in containers	
		greater than one liter (1.057	
		quart) and equal to or less than one liter (1.057 quart);	
		6:2.7:6 the VOC Actual content	
		and VOC Regulatory content	
	•	in grams per liter. If thinning is	
		recommended, list the VOC	
		Actual content and VOC	
		Regulatory content after	
		maximum recommended	
		thinning. If containers less than one liter have a different	
		VOC content than containers	
		greater than one liter, list	
		separately. If the coating is a	
		multi-component product,	
		provide the VOC content as	
		mixed or catalyzed;	
		6.2.7.7 the names and CAS	
		numbers of the VOC	
		constituents in the product; 6.2.7.8 the names and CAS	
		numbers of any compounds in	
		the product specifically	
		exempted from the VOC	
		definition;	
		6.2.7.9 whether the product is	
		marketed as solvent-borne,	
		waterborne, or 100% solids; 6.2.7.10 description of resin or	
		binder in the product;	
		6.2.7.11 whether the coating is a	
		single-component or multi-	
		component product;	
		6.2.7.12 the density of the product	
		in pounds per gallon;	
		6.2.7.13 the percent by weight of:	
	· ·	solids, all volatile materials,	
		water, and any compounds in the product specifically	
		exempted from the VOC	
		definition; and	
		6.2.7.14 the percent by volume of:	
		solids, water, and any	
		compounds in the product	
		specifically exempted from	
		the VOC definition.	

Requirement	SIP Version of Rule 4601	Non-SIP Version of Rule 4601	Conclusion
Category	(10/31/01)	(12/17/09)	Conclusion
I	(10/31/01) 6.3 Test Methods 6.3.1 VOC Content of Coatings: To determine the physical properties of a coating in order to perform the calculations in Section 3.26 and 3.27, the reference method for VOC content is U.S. EPA Method 24, except as provided in Sections 6.3.2 and 6.3.15. An alternative method to determine the VOC content of coatings is SCAQMD Method 304-91 (Revised February 1996), incorporated by reference in Section 6.3.14. The exempt compounds content shall be determined by SCAQMD Method 303-	(12/17/09) 6.3 Test Methods The test methods listed below shall be used to demonstrate compliance with this rule. Alternate equivalent test methods may be used provided the test methods have been approved by the APCO and EPA. 6.3.1 Calculation of VOC Content: For the purpose of determining compliance with the VOC content limits in the Table of Standards 1 or the Table of Standards 2, the VOC content of a coating shall be determined as defined in Section 3.77, 3.78, or 3.79	The non-SIP version includes all the requirements of the SIP version. Therefore, the non-SIP version of the rule is more stringent than the SIP version of the rule.
	91 (Revised August 1996), incorporated by reference in Section 6.3.12. To determine the VOC content of a coating, the manufacturer may use U.S. EPA Method 24, or an alternative method as provided in Section 6.3.2, formulation data, or any other reasonable means for predicting that the coating has been formulated as intended (e.g., quality assurance checks, recordkeeping). However, if there are any inconsistencies between the results of a Method 24 test and any other means for determining VOC content, the Method 24 test results will govern, except when an alternative method is approved as specified in Section 6.3.2. The District Air Pollution Control Officer (APCO) may require the manufacturer to conduct a Method 24 analysis. 6.3.2 Alternative Test Methods: Other test methods demonstrated to provide results that are acceptable for purposes of determining compliance with Section 6.3.1, after review and approved in writing by the staffs of the District, the ARB and the U.S. EPA, may also be used. 6.3.3 Methacrylate Traffic Marking Coatings: Analysis of methacrylate multicomponent coatings used as traffic marking coatings shall be conducted according to a modification of U.S. EPA Method 24 (40 CFR 59, subpart D, Appendix A), incorporated by reference in Section 6.3.15. This method has not been approved for methacrylate multicomponent coatings used for other purposes than as traffic marking coatings or for other classes of multicomponent coatings. 6.3.4 Flame Spread Index: The flame spread index of a fire-retardant coating shall be determined by ASTM Designation E 84-99, "Standard Test	as appropriate. The VOC content of a tint base shall be determined without colorant that is added after the tint base is manufactured. If the manufacturer does not recommend thinning, the VOC Content must be calculated for the product as supplied. If the manufacturer recommends thinning, the VOC Content must be calculated including the maximum amount of thinning solvent recommended by the manufacturer. If the coating is a multicomponent product, the VOC content must be calculated as mixed or catalyzed. If the coating contains silanes, siloxanes, or other ingredients that generate ethanol or other VOC during the curing process, the VOC content must include the VOCs emitted during curing. 6.3.2 VOC Content of Coatings: To determine the physical properties of a coating in order to perform the calculations in Section 3.77 and 3.79, the reference method for VOC content is EPA Method 24, except as provided in Sections 6.3.3 and 6.3.16. An alternative method to determine the VOC content of coatings is SCAQMD Method 304-91 (Revised February 1996). The exempt compounds content shall be determined by SCAQMD Method 303-91 (Revised 1993), BAAQMD Method 43 (Revised 1993), BAAQMD Method 43 (Revised 1993), BAAQMD Method 43 (Revised 1994), or BAAQMD Method 41 (Revised 1995), as applicable. To determine the VOC content of a coating, the manufacturer may use EPA Method 24, or an alternative method as provided in Section 6.3.3, formulation data, or any other reasonable means for predicting that the coating has been formulated as intended (e.g., quality assurance checks,	
	Method for Surface Burning Characteristics of Building Materials"(see Section 3, Fire- Retardant Coating). 6:3.5 Fire Resistance Rating: The fire	recordkeeping). However, if there are any inconsistencies between the results of EPA Method 24 test and any other means for determining VOC content, the EPA Method 24	

Requirement Category	SIP Version of Rule 4601 (10/31/01)	Non-SIP Version of Rule 4601 (12/17/09)	Conclusion
	resistance rating of a fire-resistive	test results will govern, except when	
	coating shall be determined by ASTM	an alternative method is approved as	
	Designation E 119-98, "Standard Test	specified in Section 6.3.3. The District	
	Methods for Fire Tests of Building	Air Pollution Control Officer (APCO)	
	Construction Materials" (see Section 3,	may require the manufacturer to	
	Fire-Resistive Coating).	conduct an EPA Method 24 analysis.	
	6.3.6 Gloss Determination: The gloss of a	6.3.3 Alternative Test Methods: Other test	
ì	coating shall be determined by ASTM	methods demonstrated to provide	
		the state of the s	
	Designation D 523-89 (1999), "Standard Test Method for Specular	results that are acceptable for	
	"Standard Test Method for Specular	purposes of determining compliance	
ľ	Gloss"(see Section 3, Flat Coating,	with Section 6.3.2 1, after review and	
	Nonflat Coating, Nonflat-High Gloss	approved in writing by the staffs of	
	Coating and Quick-Dry Enamel).	the District, ARB and EPA, may also	
	6.3.7 Metal Content of Coatings: The	be used.	
	metallic content of a coating shall be	6.3.4 Methacrylate Traffic Marking	
	determined by SCAQMD Method 318-	Coatings: Analysis of methacrylate	
	95, Determination of Weight Percent	multicomponent coatings used as	
	Elemental Metal in Coatings by X-Ray	traffic marking coatings shall be	
	Diffraction, SCAQMD Laboratory	conducted according to a modification	
	Methods of Analysis for Enforcement	of EPA Method 24 (40 CFR 59,	
	Samples (see Section 3, Metallic	subpart D, Appendix A). This method	
	Pigmented Coating).	has not been approved for	
	6.3.8 Acid Content of Coatings: The acid	methacrylate multicomponent	
	content of a coating shall be	coatings used for other purposes than	
	determined by ASTM Designation D	as traffic marking coatings or for other	
	1613-96, "Standard Test Method for	classes of multicomponent coatings.	
	Acidity in Volatile Solvents and	6.3.5 Flame Spread Index: The flame	
	Chemical Intermediates Used in Paint,	spread index of a fire-retardant	
Ï	Varnish, Lacquer and related	coating shall be determined by ASTM	
·	products"(see Section 3, Pre-	E84-07, "Standard Test Method for	
	Treatment Wash Primer).	Surface Burning Characteristics of	
	6.3.9 Drying Times: Tihe set-to-touch, dry-	Building Materials" (see Section 3.0,	
	hard, dry-to-touch and dry-to-recoat	Fire-Retardant Coating).	
	times of a coating shall be determined	6.3.6 Fire Resistance Rating: The fire	
	by ASTM Designation D 1640-95,	resistance rating of a fire-resistive	
	"Standard Test Methods for Drying,	l	
		coating shall be determined by ASTM	
	Curing, or Film Formation of Organic	E119-07, "Standard Test Methods for	
	Coatings at Room Temperature" (see	Fire Tests of Building Construction	
	Section 3, Quick-Dry Enamel and	Materials" (see Section 3.0, Fire-	
	Quick-Dry Primer, Sealer and	Resistive Coating).	
	Undercoater) The tack-free time of a	6.3.7 Gloss Determination: The gloss of a	
	quickdry enamel coating shall be	coating shall be determined by ASTM	
	determined by the Mechanical Test	D523-89 (1999), "Standard Test	
	Method of ASTM Designation D 1640-	Method for Specular Gloss" (see	
	95.	Section 3.0, Flat Coating, Nonflat	
	6.3.10 Surface Chalkiness: The chalkiness	Coating, Nonflat-High Gloss Coating	
	of a surface shall be determined using	and Quick-Dry Enamel).	
	ASTM Designation D4214-98,	6.3.8 Metal Content of Coatings: The	
	"Standard Test Methods for Evaluating	metallic content of a coating shall be	
	the Degree of Chalking of Exterior	determined by SCAQMD Method	
	Paint Films"(see Section 3, Specialty	318-95, Determination of Weight	
	Primer, Sealer and Undercoater).	Percent Elemental Metal in Coatings	
	6.3.11 Exempt Compounds—Siloxanes:	by X-Ray Diffraction, SCAQMD	
	Exempt compounds that are cyclic,	Laboratory Methods of Analysis for	
	branched, or linear completely	Enforcement Samples (see Section	
	methylated siloxanes, shall be	3.0, Metallic Pigmented Coating,	
	analyzed as exempt compounds for	Aluminum Roof Coating and Faux	
	compliance with Section 6 by	Finish.	
	BAAQMD Method 43, "Determination	6.3.9 Acid Content of Coatings: The acid	
	of Volatile Methylsiloxanes in Solvent-	content of a coating shall be	
	Based Coatings, Inks, and Related	determined by ASTM D1613-06,	
	Materials," BAAQMD Manual of	"Standard Test Method for Acidity in	
	Procedures, Volume III, adopted	Volatile Solvents and Chemical	
	11/6/96 (see Section 3, Volatile	Intermediates Used in Paint, Varnish,	
	Organic Compound, and Section	Lacquer and related products" (see	
	6.3.1).	Section 3.0, Pre-Treatment Wash	
	0.0.1).	occión 5:0, Fie-igealment yvasn	

Requirement Category	SIP Version of Rule 4601 (10/31/01)	Non-SIP Version of Rule 4601 (12/17/09)	Conclusion
	Parachlorobenzotrifluoride (PCBTF):	6.3.10 Drying Times: The set-to-touch,	
	The exempt compound	dry-hard, dry-to-touch and dry-to-	
	parachlorobenzotrifluoride, shall be		
		recoat times of a coating shall be	
	analyzed as an exempt compound for	determined by ASTM D1640-95,	
	compliance with Section 6 by	"Standard Test Methods for Drying,	
	BAAQMD Method 41, "Determination	Curing, or Film Formation of Organic	
	of Volatile Organic Compounds in	Coatings at Room Temperature" (see	•
	Solvent Based Coatings and Related	Section 3.0, Quick-Dry Enamel and	
	Materials Containing	Quick-Dry Primer, Sealer and	
	Parachlorobenzotriflouride," BAAQMD	Undercoater) The tack-free time of a	
	Manual of Procedures, Volume III,	quick-dry enamel coating shall be	
	adopted 12/20/95 (see Section 3,	determined by the Mechanical Test	
.	Volatile Organic Compound, and	Method of ASTM D1640-95.	
	Section 6.3.1).	(Category deleted effective January	
	6.3.13 Exempt Compounds: The content of	1, 2011.)	
	compounds under U.S. EPA Method	6.3.11 Surface Chalkiness: The chalkiness	
	24 shall be analyzed by SCAQMD		
	Method 303-91 (Revised 1996),	of a surface shall be determined	
		using ASTM D4214-98, "Standard	
	"Determination of Exempt	Test Methods for Evaluating the	
	Compounds," SCAQMD Laboratory	Degree of Chalking of Exterior Paint	
	Methods of Analysis for Enforcement	Films"(see Section 3, Specialty	
	Samples (see Section 3, Volatile	Primer, Sealer and Undercoater).	
	Organic Compound, and Section	(Category deleted effective January	
	6.3.1).	1, 2011.)	
	6.3.14 VOC Content of Coatings: The VOC	6.3.12 Exempt Compounds—Siloxanes:	
	content of a coating shall be	Exempt compounds that are cyclic,	
,	determined by U.S. EPA Method 24 as	branched, or linear completely	
	it exists in appendix A of 40 Code of	methylated siloxanes, shall be	
ľ	Federal Regulations (CFR) part 60,	analyzed as exempt compounds for	
	"Determination of Volatile Matter	compliance with Section 6 by	
		'	
	Content, Water Content, Density,	BAAQMD Method 43, "Determination	
ĺ	Volume Solids and Weight Solids of	of Volatile Methylsiloxanes in Solvent-	
l	Surface Coatings"(see Section 6.3.1).	Based Coatings, Inks, and Related	
	6.3.15 Alternative VOC Content of	Materials," BAAQMD Manual of	
	Coatings: The VOC content of	Procedures, Volume III, adopted	
	coatings may be analyzed either by	11/6/96 (see Section 3.0, Volatile	
	U.S. EPA Method 24 or SCAQMD	Organic Compound, and Section	
	Method 304-91 (Revised 1996),	6.3.2).	
	"Determination of Volatile Organic	6.3.13 Exempt Compounds—	
	Compounds (VOC) in Various	Parachlorobenzotrifluoride (PCBTF):	
	Materials," SCAQMD Laboratory		
ì	Methods of Analysis for Enforcement	parachlorobenzotrifluoride, shall be	
	Samples (see Section 6.3.1).	analyzed as an exempt compound for	
	6.3.16 Methacrylate Traffic Marking	compliance with Section 6 by	
	Coatings: The VOC content of	BAAQMD Method 41, "Determination	
	methacrylate multicomponent coatings	of Volatile Organic Compounds in	
	used as traffic marking coatings shall	Solvent Based Coatings and Related	
	be analyzed by the procedures in 40	Materials Containing	
	CFR part 59, subpart D, appendix A,	Parachlorobenzotriflouride,"	
	"Determination of Volatile Matter	BAAQMD Manual of Procedures,	
	Content of Methacrylate	Volume III, adopted 12/20/95 (see	
	Multicomponent Coatings Used as	Section 3.0, Volatile Organic	
	Traffic Marking Coatings (September	Compound, and Section 6.3.2).	
	11, 1998) (see Section 6.3.3).	6.3.14 Exempt Compounds: The content	
	1-1, 1990) (See Section 6.3.3):		
		of compounds under U.S. EPA	
	•	Method 24 shall be analyzed by	
		SCAQMD Method 303-91 (Revised	
	•	1993), "Determination of Exempt	
		Compounds," SCAQMD Laboratory	
		Methods of Analysis for Enforcement	
		Samples (see Section 3.0, Volatile	
		Organic Compound, and Section	
	· ·	6.3:2).	
		6.3.15 VOC Content of Coatings: The	
		VOC content of a coating shall be	
		determined by EPA Method 24 as it	
		exists in appendix A of 40 Code of	

Requirement Category	SIP Version of Rule 4601 (10/31/01)	Non-SIP Version of Rule 4601 (12/17/09)	Conclusion
		Federal Regulations (CFR) part 60, "Determination of Volatile Matter Content, Water Content, Density, Volume Solids and Weight Solids of Surface Coatings" (see Section 6.3.2). 6.3.16 Alternative VOC Content of Coatings: The VOC content of	
		coatings may be analyzed either by U.S. EPA Method 24 or SCAQMD Method 304-91 (Revised 1996), "Determination of Volatile Organic Compounds (VOC) in Various Materials," SCAQMD Laboratory Methods of Analysis for Enforcement Samples.	
		6.3.17 Methacrylate Traffic Marking Coatings: The VOC content of methacrylate multicomponent coatings used as traffic marking	
		coatings shall be analyzed by the procedures in 40 CFR part 59, subpart D, appendix A, "Determination of Volatile Matter Content of Methacrylate Multicomponent Coatings Used as Traffic Marking Coatings" (September 11, 1998).	
		6.3.18 Hydrostatic Pressure for Basement Specialty Coatings: The hydrostatic pressure resistance for basement specialty coatings shall be analyzed using ASTM D7088-04, "Standard Practice for Resistance to Hydrostatic Pressure for Coatings Used in Below Grade Applications Applied to	
		Masonry". 6.3.19 Tub and Tille Refinish Coating Adhesion: The adhesion of tub and tille coating shall be determined by ASTM D4585-99, "Standard Practice for Testing Water Resistance of Coatings Using Controlled Condensation" and ASTM D3359-02, "Standard Test Methods for	
		Measuring Adhesion by Tape Test". 6.3.20 Tub and Tille Refinish Coating Hardness: The hardness of tub and tile refinish coating shall be determined by ASTM D3363-05, "Standard Test Method for Film Hardness by Pencil Test".	
		6.3.21 Tub and Tile Refinish Coating Abrasion Resistance: Abrasion resistance of tub and tile refinish coating shall be analyzed by ASTM D4060-07, "Standard Test Methods for Abrasion Resistance of Organic	
		Coatings by the Taber Abraser". 6.3.22 Tub and Tile Refinish Coating Water Resistance: Water resistance of tub and tile refinish coatings shall be determined by ASTM D4585-99, "Standard Practice for Testing Water	
	•	Resistance of Coatings Using Controlled Condensation" and ASTM D714-02e1, "Standard Test Method	

Requirement Category	SIP Version of Rule 4601 (10/31/01)	Non-SIP Version of Rule 4601 (12/17/09)	Conclusion
		for Evaluating Degree of Blistering of Paints". 6.3.23 Waterproofing Membrane: Waterproofing membrane shall be tested by ASTM C836-06, "Standard Specification for High Solids Content, Cold Liquid-Applied Elastomeric Waterproofing Membrane for "Use with Separate Wearing Course". 6.3.24 Mold and Mildew Growth for Basement Specialty Coatings: Mold and mildew growth resistance for basement specialty coatings shall be determined by ASTM D3273-00, "Standard Test Method for Resistance to Growth of Mold on the Surface of Interior Coatings in an Environmental Chamber" and ASTM D3274-95, "Standard Test Method for Evaluating Degree of Surface Disfigurement of Paint Films by Microbial (Fungal or Algal) Growth or Soil and Dirt Accumulation". 6.3.25 Reactive Penetrating Sealer Water Repellency: Reactive penetrating sealer water repellency shall be analyzed by ASTM C67-07, "Standard Test Methods for Sampling and Testing Brick and Structural Clay Tille"; or ASTM C97-02, "Standard Test Methods for Aborption and Bulk Specific Gravity of Dimension Stone"; or ASTM C140-06, "Standard Test Methods for Sampling and Testing Concrete Masonry Units and Related Units". 6.3.26 Reactive Penetrating Sealer Water Vapor Transmission: Reactive penetrating sealer water vapor transmission of Materials". 6.3.27 Reactive Penetrating Sealer Water Vapor Transmission: Reactive penetrating sealer water vapor transmission of Materials". 6.3.27 Reactive Penetrating Sealer Chloride Screening Applications: Reactive penetrating sealers shall be analyzed by National Cooperative Highway Research Report 244 (1981), "Concrete Sealers for the Protection of Bridge Structures". 6.3.28 Stone Consolidants: Stone consolidants shall be tested using	
7.0 Compliance	Persons subject to this rule shall be in	ASITM E2167-01, "Standard Guide for Selection and Use of Stone Consolidants". Persons subject to this rule shall be in	No change in the
Schedule	compliance with this rule by October 31, 2001.	compliance with this rule by the dates specified within the rule.	requirements, therefore, non-SIP version of rule is as stringent as SIP version.
8.0 Averaging Compliance Option	8.1 On or after January 1, 2003, in lieu of compliance with the specified limits in the Table of Standards for floor coatings; industrial maintenance coatings; primers, sealers, and undercoaters; quick-dry primers, sealers, and undercoaters; quick-dry enamels; roof coatings; rust		No change in the requirements, therefore, non-SIP version of rule is as stringent as SIP version.

Requirement Category	SIP Version of Rule 4601 (10/31/01)	Non-SIP Version of Rule 4601 (12/17/09)	Conclusion
	preventative coatings; stains; waterproofing sealers, as well as flats and non-flats (excluding recycled coatings), manufacturers may average designated coatings such that their actual cumulative emissions from the averaged coatings are less than or equal to the cumulative emissions that would have been allowed under those limits over a compliance period not to exceed one year. Such manufacturers must also comply with the averaging provisions contained in this Section, as well as maintain and make available for inspection records for at least three years after the end of the compliance period. This Section shall cease to be effective on January 1, 2005, after which averaging will no longer be allowed. Per Section 8.1, averaging is no longer applicable. Therefore, Section 8.2 through 8.14 are not listed.		

District Rule 4601 was amended (12/17/2009). As analyzed, each amended section of the non-SIP version of the rule is at least as stringent as, or more stringent than the corresponding section of the SIP version of the rule. Therefore, it is concluded that overall the non-SIP version of the rule is more stringent than the SIP version of the rule.